

GP 2681

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))		Docket No. 14990	
In Re Application Of: Masaru HIRATA			
Serial No. 09/974,956	Filing Date October 11, 2001	Examiner Unassigned	Group Art Unit 2681
Title: MOBILE STATION AND METHOD FOR ALLOCATING FINGER THEREOF IN CDMA COMMUNICATION SYSTEM.		RECEIVED SEP 04 2003 Technology Center 2600	
<p>Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p>37 CFR 1.97(b)</p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p>37 CFR 1.97(c)</p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p>OR</p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>			

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14990

In Re Application: Masaru HIRATA

SEP 02 2003

Serial No.

09/974,956

Filing Date

October 11, 2001

Examiner

Unassigned

Group Art Unit

2681

MOBILE STATION AND METHOD FOR ALLOCATING FINGER THEREOF IN
CDMA COMMUNICATION SYSTEM.

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Technology Center 2600

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Paul J. Esatto, Jr.

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Signature

Dated: August 27, 2003

Paul J. Esatto, Jr.

Registration No.: 30,749

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Masaru HIRATA **Examiner:** Unassigned

Serial No.: 09/974,956 **Group Art Unit:** 2681

Filed: October 11, 2001 **Docket:** 14990

For: MOBILE STATION AND **Dated:** August 27, 2003

METHOD FOR ALLOCATING
FINGER THEREOF IN CDMA
COMMUNICATION SYSTEM

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SEP 04 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

Sir:

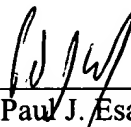
In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Laid-Open Patent Application 2000-78106, dated March 14, 2000;
2. Japanese Laid-Open Patent Application 2000-40981, dated February 8, 2000;

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 27, 2003.

Dated: August 27, 2003



Paul J. Esatto, Jr.

3. Japanese Laid-Open Patent Application 2002-164817, dated June 7, 2002;
4. Japanese Laid-Open Patent Application 2002-141831, dated May 17, 2002;
5. Japanese Laid-Open Patent Application 2002-111548, dated April 12, 2002;
6. Japanese Laid-Open Patent Application 11-27180, dated January 29, 1999;
7. International Publication No. WO 99/57819, dated November 11, 1999;
8. International Publication No. WO 99/16183, dated April 1, 1999;
9. Published Japanese Translation of PCT WO 00/65738, dated November 2, 2000;
10. Japanese Laid-Open Patent Application 11-298401, dated October 29, 1999;
11. Japanese Laid-Open Patent Application 6-148246, dated May 27, 1994;
12. Japanese Laid-Open Patent Application 9-233049, dated September 5, 1997; and
13. Japanese Laid-Open Patent Application 11-261524, dated September 24, 1999.

The references were cited in a Japanese Office Action dated June 4, 2003 received from the Japanese Patent Office. Applicant is submitting copies of the above-cited references, together with a translation of the Examiner's comments regarding the references from the Official Action. Please note that Reference No. 1 cited in the Office Action, namely, Japanese Laid-Open Patent Application 9-181704 dated July 11, 1997 was submitted in applicant's previously submitted Information Disclosure Statement dated June 18, 2003. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references

were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

SEP 02 2003

Docket Number (Optional)

14990

Application Number

09/974,956

Applicant(s)

Masaru HIRATA

Filing Date

October 11, 2001

Group Art Unit

2681

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		2000-78106	3/14/00	Japan				
		2000-40981	2/8/00	Japan				
		2002-164817	6/7/02	Japan				
		2002-141831	5/17/02	Japan				
		2002-111548	4/12/02	Japan				
		11-27180	1/29/99	Japan				
		WO 99/57819	11/11/99	PCT				
		WO 99/16183	4/1/99	PCT				
		WO 00/65738	11/2/00	PCT				
		11-298401	10/29/99	Japan				
		6-148246	5/27/94	Japan				
		9-233049	9/5/97	Japan				
		11-261524	9/24/99	Japan				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.